#### A Self-Correcting Sequential Recommender

Yujie Lin Shandong University Qingdao, China yu.jie.lin@outlook.com

Zhaochun Ren Shandong University Qingdao, China zhaochun.ren@sdu.edu.cn

Maarten de Rijke University of Amsterdam Amsterdam, The Netherlands m.derijke@uva.nl Chenyang Wang
Shandong University
Qingdao, China
201900122032@mail.sdu.edu.cn

Xin Xin
Shandong University
Qingdao, China
xinxin@sdu.edu.cn

Xiuzhen Cheng Shandong University Qingdao, China xzcheng@sdu.edu.cn Zhumin Chen Shandong University Qingdao, China chenzhumin@sdu.edu.cn

Qiang Yan
WeChat, Tencent
Guangzhou, China
rolanyan@tencent.com

Pengjie Ren\*
Shandong University
Qingdao, China
renpengjie@sdu.edu.cn

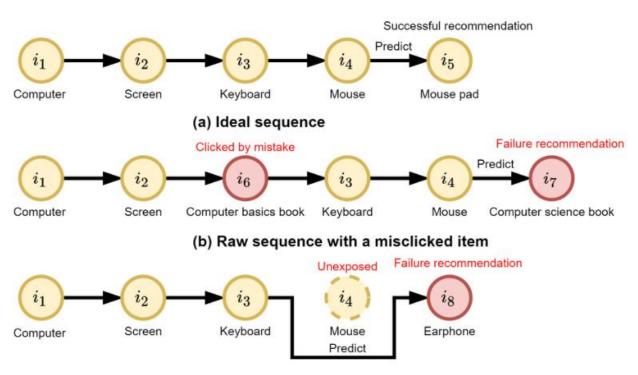
code: <a href="https://github.com/TempSDU/STEAM">https://github.com/TempSDU/STEAM</a>.



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### Introduction



(c) Raw sequence without a missed item

Figure 1: Examples for two kinds of imperfect item sequences. Sub-figure (a) is an ideal item sequence without any imperfection. Sub-figure (b) is an imperfect item sequence that contains a misclicked item (i.e.,  $i_6$ ). Sub-figure (c) is an imperfect sequence that lacks a missed item (i.e.,  $i_4$ ).

#### Method

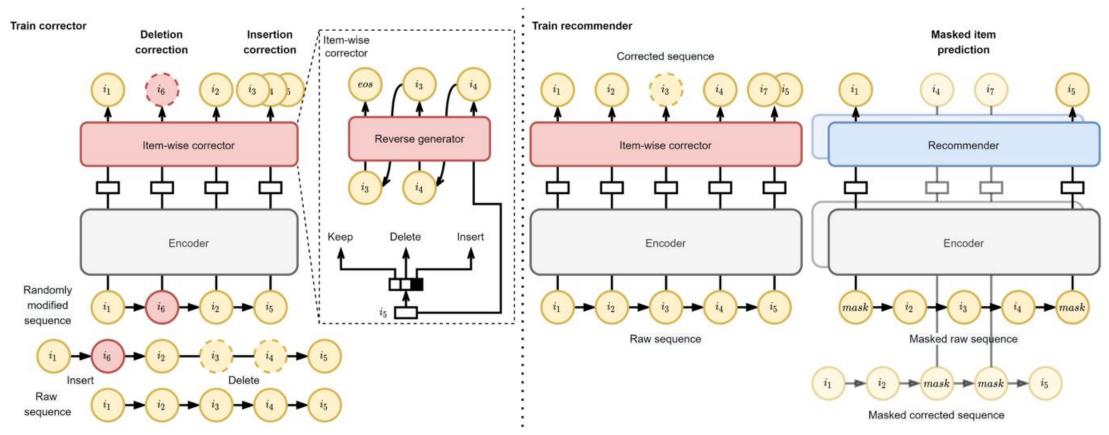
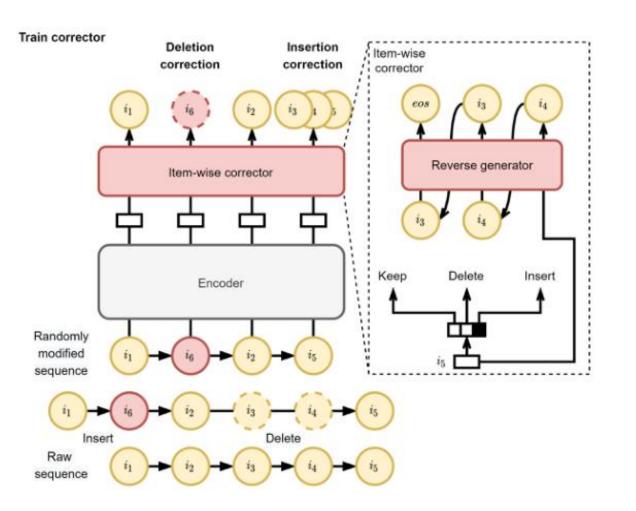


Figure 2: An overview of STEAM. For training the corrector, the item-wise corrector is asked to perform deletion correction and insertion correction on items to recover the raw item sequence that has been randomly modified. The raw item sequence with its corrected version are both used to train the recommender using the masked item prediction task. Finally, STEAM is optimized by the joint loss from the corrector and the recommender.

#### Method



$$\mathbf{e}_t = \mathbf{E}\mathbf{i}_t \tag{1}$$

$$\mathbf{h}_t^0 = \mathbf{e}_t + \mathbf{p}_t \tag{2}$$

$$\mathbf{H}_e^l = \mathrm{Trm_{bi}}(\mathbf{H}_e^{l-1}) \tag{3}$$

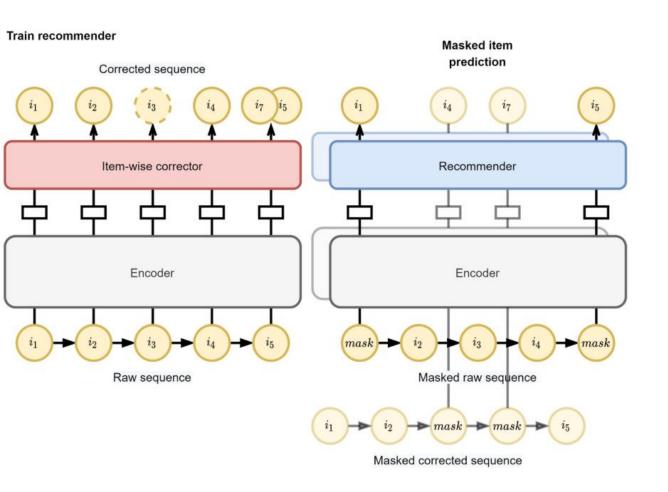
$$P(\hat{o}_t \mid S) = \text{softmax}(\mathbf{W}\mathbf{h}_t) \tag{4}$$

$$\mathbf{H}_{c}^{0} = \begin{bmatrix} \mathbf{h}_{t} + \mathbf{p}_{1} \\ \mathbf{e}_{1} + \mathbf{p}_{2} \\ \vdots \\ \mathbf{e}_{n-1} + \mathbf{p}_{n} \end{bmatrix}$$
 (5)

$$\mathbf{H}_c^l = \mathrm{Trm}_{\mathrm{uni}}(\mathbf{H}_c^{l-1}) \tag{6}$$

$$P(\hat{i}_n \mid S_{1:n-1}^{< i_t}, S) = \operatorname{softmax}(\mathbf{E}^\top \mathbf{h}_n)$$
(7)

#### Method



$$\mathbf{H}_r^l = \mathrm{Trm_{bi}}(\mathbf{H}_r^{l-1}) \tag{8}$$

$$P(\hat{i}_t \mid S) = \text{softmax}(\mathbf{E}^{\top} \mathbf{h}_t)$$
 (9)

$$L_1 = -\log P(S^r | S^m)$$

$$= -\left(\log P(O|S^m) + \sum_{i \in I^{ins}} \log P(S^{< i} | S^m)\right)$$
(10)

$$L_{2} = -\left(\log P(\widetilde{I}^{r} \mid \widetilde{S}^{r}) + \log P(\widetilde{I}^{c} \mid \widetilde{S}^{c})\right)$$

$$= -\left(\sum_{i \in \widetilde{I}^{r}} \log P(\hat{i} = i \mid \widetilde{S}^{r}) + \sum_{i \in \widetilde{I}^{c}} \log P(\hat{i} = i \mid \widetilde{S}^{c})\right)$$
(11)

$$L = L_1 + L_2 \tag{12}$$



Table 1: Statistics of the datasets after preprocessing.

| Dataset | #Users | #Items | #Actions | Avg. length | Sparsity |
|---------|--------|--------|----------|-------------|----------|
| Beauty  | 22,362 | 12,101 | 194,682  | 8.7         | 99.93%   |
| Sports  | 35,597 | 18,357 | 294,483  | 8.3         | 99.95%   |
| Yelp    | 22,844 | 16,552 | 236,999  | 10.4        | 99.94%   |

Table 2: Performance comparison of different methods on the real test sets. The best performance and the second best performance are denoted in bold and underlined fonts respectively. \* indicates that the performance gain of STEAM against the best baseline is statistically significant based on a two-sided paired t-test with p < 0.05.

| Model       |             | Real        | Beauty |        | Real Sports |        |             |              | Real Yelp   |             |             |             |
|-------------|-------------|-------------|--------|--------|-------------|--------|-------------|--------------|-------------|-------------|-------------|-------------|
|             | HR@5        | HR@10       | MRR@5  | MRR@10 | HR@5        | HR@10  | MRR@5       | MRR@10       | HR@5        | HR@10       | MRR@5       | MRR@10      |
| GRU4Rec     | 32.95       | 42.59       | 21.63  | 22.90  | 30.58       | 42.85  | 18.35       | 19.97        | 55.40       | 76.57       | 32.23       | 35.05       |
| SASRec      | 36.58       | 45.57       | 25.43  | 26.62  | 34.51       | 46.20  | 21.91       | 23.46        | 58.24       | 77.96       | 35.07       | 37.72       |
| BERT4Rec    | 36.67       | 47.28       | 23.38  | 24.79  | 35.16       | 47.91  | 21.54       | 23.24        | 61.18       | 79.72       | 37.64       | 40.13       |
| SRGNN       | 37.33       | 47.65       | 25.15  | 26.52  | 35.92       | 48.32  | 22.44       | 24.08        | 59.86       | 78.96       | 36.74       | 39.30       |
| CL4SRec     | 39.29       | 48.75       | 27.59  | 28.84  | 37.91       | 49.83  | 24.53       | 26.11        | 62.15       | 80.16       | 39.29       | 41.70       |
| DuoRec      | 40.95       | 50.78       | 28.84  | 30.15  | 39.80       | 51.93  | 25.97       | <u>27.58</u> | 64.01       | 82.63       | 40.85       | 43.34       |
| FMLP-Rec    | 39.69       | 48.72       | 28.01  | 29.20  | 37.67       | 49.32  | 24.66       | 26.21        | 61.85       | 80.76       | 38.38       | 40.92       |
| Recommender | 35.73       | 46.47       | 22.84  | 24.27  | 35.02       | 47.78  | 21.34       | 23.03        | 61.41       | 80.57       | 37.67       | 40.22       |
| STEAM       | $42.57^{*}$ | $52.89^{*}$ | 28.75  | 30.14  | $42.14^{*}$ | 55.16* | $26.87^{*}$ | $28.61^{*}$  | $67.22^{*}$ | $84.49^{*}$ | $43.45^{*}$ | $45.77^{*}$ |

Table 3: Performance analysis of STEAM on different groups of the real test sets. Overall-R (Overall-C) is the performance on all raw (corrected) test item sequences. Changed-R (Changed-C) is the performance on the raw (corrected) test item sequences of the changed sequence group. Unchanged is the performance on the test item sequences of the unchanged sequence group.

| STEAM     |       | Real  | Beauty |        |       | Rea   | l Sports |        | Real Yelp |       |       |        |
|-----------|-------|-------|--------|--------|-------|-------|----------|--------|-----------|-------|-------|--------|
|           | HR@5  | HR@10 | MRR@5  | MRR@10 | HR@5  | HR@10 | MRR@5    | MRR@10 | HR@5      | HR@10 | MRR@5 | MRR@10 |
| Overall-R | 42.21 | 52.75 | 28.27  | 29.68  | 42.03 | 55.04 | 26.75    | 28.48  | 67.19     | 84.49 | 43.42 | 45.75  |
| Overall-C | 42.57 | 52.89 | 28.75  | 30.14  | 42.14 | 55.16 | 26.87    | 28.61  | 67.22     | 84.49 | 43.45 | 45.77  |
| Changed-R | 41.35 | 51.59 | 27.04  | 28.40  | 35.04 | 47.64 | 21.56    | 23.23  | 56.05     | 74.19 | 34.36 | 36.80  |
| Changed-C | 42.56 | 52.06 | 28.66  | 29.94  | 35.54 | 48.12 | 22.08    | 23.76  | 57.46     | 74.40 | 35.45 | 37.73  |
| Unchanged | 42.58 | 53.25 | 28.79  | 30.22  | 44.21 | 57.36 | 28.37    | 30.12  | 67.44     | 84.71 | 43.62 | 45.95  |



Table 4: Statistics of correction operations by STEAM on the real test sets. #Changed is the percentage of the changed test item sequences after correction. #Keep, #Delete and #Insert are the percentages of different types of correction operations during correction.

| Dataset     | #Changed | #Keep | #Delete | #Insert |
|-------------|----------|-------|---------|---------|
| Real Beauty | 29.91    | 88.60 | 4.03    | 7.37    |
| Real Sports | 23.82    | 95.72 | 4.21    | 0.07    |
| Real Yelp   | 2.17     | 99.63 | 0.15    | 0.22    |

Table 5: Performance comparison of different methods on the simulated test sets.

| Model       |        | Simula    | ted Beauty | y      | Simulated Sports |        |              |           | Simulated Yelp |        |             |        |
|-------------|--------|-----------|------------|--------|------------------|--------|--------------|-----------|----------------|--------|-------------|--------|
|             | HR@5   | HR@10     | MRR@5      | MRR@10 | HR@5             | HR@10  | MRR@5        | MRR@10    | HR@5           | HR@10  | MRR@5       | MRR@10 |
| GRU4Rec     | 32.22  | 42.13     | 21.28      | 22.59  | 29.96            | 42.26  | 17.99        | 19.61     | 54.64          | 75.87  | 31.66       | 34.49  |
| SASRec      | 35.97  | 45.26     | 24.97      | 26.20  | 33.63            | 45.23  | 21.47        | 23.01     | 57.71          | 77.12  | 34.64       | 37.23  |
| BERT4Rec    | 35.83  | 46.79     | 22.79      | 24.25  | 34.10            | 46.49  | 20.62        | 22.26     | 59.46          | 78.07  | 36.36       | 38.85  |
| SRGNN       | 36.64  | 46.81     | 24.50      | 25.85  | 35.39            | 47.55  | 22.00        | 23.60     | 57.55          | 76.82  | 35.09       | 37.68  |
| CL4SRec     | 38.66  | 48.22     | 26.96      | 28.23  | 37.10            | 48.93  | 23.95        | 25.52     | 61.08          | 78.99  | 38.48       | 40.88  |
| DuoRec      | 40.26  | 50.13     | 28.39      | 29.71  | 38.87            | 50.95  | <u>25.36</u> | 26.96     | 63.06          | 82.07  | 40.24       | 42.78  |
| FMLP-Rec    | 39.38  | 48.47     | 27.85      | 29.06  | 37.23            | 48.86  | 24.33        | 25.87     | 61.17          | 80.37  | 37.97       | 40.56  |
| Recommender | 35.14  | 45.96     | 22.22      | 23.66  | 33.70            | 46.40  | 20.38        | 22.06     | 60.33          | 79.08  | 36.52       | 39.03  |
| STEAM       | 42.09* | $52.21^*$ | 28.45      | 29.81  | 41.72*           | 54.82* | $26.43^{*}$  | $28.17^*$ | $66.46^{*}$    | 84.05* | $42.83^{*}$ | 45.19* |

Table 6: Robustness analysis of different models. Each value is a performance disturbance.

|             |        |        | 1,750  |        |        |        |        |               |        |        |        |        |
|-------------|--------|--------|--------|--------|--------|--------|--------|---------------|--------|--------|--------|--------|
| Model       |        | В      | eauty  |        | Sports |        |        |               | Yelp   |        |        |        |
|             | HR@5   | HR@10  | MRR@5  | MRR@10 | HR@5   | HR@10  | MRR@5  | MRR@10        | HR@5   | HR@10  | MRR@5  | MRR@10 |
| GRU4Rec     | -2.21% | -1.08% | -1.62% | -1.35% | -2.03% | -1.38% | -1.96% | -1.80%        | -1.37% | -0.91% | -1.77% | -1.60% |
| SASRec      | -1.67% | -0.68% | -1.81% | -1.58% | -2.55% | -2.10% | -2.01% | -1.92%        | -0.91% | -1.08% | -1.23% | -1.30% |
| BERT4Rec    | -2.29% | -1.04% | -2.52% | -2.18% | -3.01% | -2.96% | -4.27% | -4.22%        | -2.81% | -2.07% | -3.40% | -3.19% |
| SRGNN       | -1.85% | -1.76% | -2.58% | -2.53% | -1.48% | -1.59% | -1.96% | -1.99%        | -3.86% | -2.71% | -4.49% | -4.12% |
| CL4SRec     | -1.60% | -1.09% | -2.28% | -2.12% | -2.14% | -1.81% | -2.36% | -2.26%        | -1.72% | -1.46% | -2.06% | -1.97% |
| DuoRec      | -1.68% | -1.28% | -1.56% | -1.46% | -2.34% | -1.89% | -2.35% | -2.25%        | -1.48% | -0.68% | -1.49% | -1.29% |
| FMLP-Rec    | -0.78% | -0.51% | -0.57% | -0.48% | -1.17% | -0.93% | -1.34% | <u>-1.30%</u> | -1.10% | -0.48% | -1.07% | -0.88% |
| Recommender | -1.65% | -1.10% | -2.71% | -2.51% | -3.77% | -2.89% | -4.50% | -4.21%        | -1.76% | -1.85% | -3.05% | -2.96% |
| STEAM       | -0.28% | -1.02% | +0.64% | +0.44% | -0.74% | -0.40% | -1.20% | -1.09%        | -1.09% | -0.52% | -1.36% | -1.22% |



# **Thanks**